**ANNEXURE -III**

**CATEGORY-III: RESEARCH, PUBLICATIONS AND ACADEMIC CONTRIBUTIONS**

**(UGC Regulations on Minimum Qualifications for Appointment of Teachers dated September 18, 2010)**

**Brief Explanation**: Based on the teacher’s self-assessment, API scores are proposed for research, publications and academic contributions. The minimum API score required by teachers from this category is different for different levels. The self-assessment score will be based on verifiable criteria and will be finalized by the screening / selection committee.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| S.No | APIs | Faculties of Languages  Arts/Humanities/Social  Sciences/Library/  Physical education/  Management | | Number | Weight | Score= Weight\*  Number |
| **III (A)** | **Research Papers published in:**  (Provide Data as per Appendix IV Table- III A) | Refereed journals\* | |  | 15/  Publication |  |
| Non-refereed but recognized and reputable journals and periodicals, having ISBN/ISSN numbers. | |  | 10/  Publication |  |
| Conference proceedings as full papers, etc.(Abstracts not to be included) | |  | 10/  Publication |  |
| **III (B)** | **Research Publications (books, chapters in books, other than refereed journal articles)**  (Provide Data as per Appendix IV Table-III B) | Text or Reference Books Published by International Publishers with an established peer review system | |  | 50/sole author;  10/chapter in an edited book |  |
| Subject Books by/  National level publishers/State and Central Govt.  Publications with ISBN/ ISSN numbers. | |  | 25/sole  Author;  5/chapter in  An edited  book |  |
| Subject Books by other local publishers with ISBN/ISSN numbers. | |  | 15/sole  Author;  3/chapter in an edited book |  |
| Chapters contributed to edited knowledge based volumes published by  International Publishers | |  | 10/ Chapter |  |
| Chapters in knowledge based volumes in Indian/National level publishers with ISBN/ISSN numbers and with numbers of national and international directories. | |  | 5/Chapter |  |
| **III (C)** | **RESEARCH PROJECTS (Provide Data as per Appendix IV Table-III C)** | | | | | |
| III (C)  (i) | Sponsored Projects carried out/ ongoing | | Major Projects amount mobilized with grants above 5.0 lakhs |  | 20/each  project |  |
| Major Projects Amount mobilized with minimum of Rs.3.00 lakhs up to Rs.5.00 lakhs |  | 15/each  Project |  |
| Minor Projects(Amount mobilized with grants above Rs.25,000 up to Rs.3 lakh) |  | 10/each  Project |  |
| III (C)  (ii) | Consultancy  Projects carried  Out/ ongoing | | Amount mobilized with minimum of Rs.2.0 lakhs |  | 10/every  Rs.2.0 lakhs |  |
| III (C)  (iii) | Completed  Projects:  Quality  Evaluation | | Completed project report (Accepted by funding agency) |  | 20/each major project and 10/each minor project |  |
| III (C)  (iv) | Projects  Outcome/  Outputs | | Major Policy document of Govt Bodies at Central and State level |  | 30/each  National level output or patent/50  /each for  International  Level |  |
| **III (D)** | **RESEARCH GUIDANCE (Provide Data as per Appendix IV Table-III D)** | | | | | |
| III (D)  (i) | M.Phil. | | Degree awarded only |  | 3/each  candidate |  |
| III (D)  (ii) | Ph.D | | Degree awarded |  | 10/each  candidate |  |
|  |  | | Thesis submitted |  | 7/each  Candidate |  |
| **III(E)** | **TRAINING COURSES AND CONFERENCE/SEMINAR/WORKSHOP PAPERS** | | | | | |
| III(E)  (i) | Refresher courses, Methodology  Workshops,  Training,  Teaching-  Learning-  Evaluation  Technology  Programmes,  Soft Skills  Development  Programmes,  Faculty  Development  Programmes  (Max:30 points) | | (a) Not less than two weeks duration |  | 20/each |  |
| (b) One week duration |  | 10/each |  |
| III(E)  (ii) | Papers in Conferences/  Seminars/  Workshops etc.\*\*  (Provide Data as per Appendix IV  Table-III E(II)) | | Participation and Presentation of research Papers (oral/poster) in |  |  |  |
| a)International conference |  | 10/each |  |
| b)National |  | 7.5/each |  |
| c)Regional/State level |  | 5/each |  |
| d)Local-University/  College level |  | 3/each |  |
| 1. International 2. National level |  | 10/each  5 |  |

**\*The API score for paper in refereed journal / non-refereed journal under Category – III (A) would be augmented as follows:**

1. **Indexed Indian journals - 5 points;**
2. **Indexed foreign journals / periodicals - 10 points;**
3. **Commissioned articles either in India or Foreign - 10 points;**
4. **Papers with impact factor, i.e.**
   1. **Basis of legislation – 20 points;**
   2. **Basis of law reform – 20 points;**
   3. **Inclusion in course curriculum (other than self-inclusion) – 20 points;**
   4. **Frequency of citation – 15 points;**
   5. **Mention in a judgment by 15 points.**

**The candidate should provide evidence to consider for award of additional API score as mentioned above.**

\*\* If a paper presented at Conference/Seminar is published in the form of its proceedings, the points would accrue for the publication (III (a)) and not under presentation (III (E)(ii)).

Note: Under Category – III for research publications, books, research projects, research guidance, list of papers in conferences / seminars are to be appended to the proforma.

2) The API for joint publications will have to be calculated in the following manner:

Of the total score for the relevant category of publication by the concerned teacher, the first/ principal author and the corresponding author/ supervisor/ mentor of the teacher would share equally 60% of the total points and the remaining 40% would be shared equally by all other authors.

3) The parameters listed in table of category-III (Research and Academic contributions) shall have following capping in relation to the total API score claimed by the candidates:

III (A): Research Papers (Journals etc.) 30%

III (B): Research Publications (Books etc.) 25%

III (C): Research Projects 20%

III (D): Research Guidance 10%

III (E): Training Courses and Conference/ Seminars etc. 15%

**APPENDIX – IV**

**TABLE – III A**

**RESEARCH PUBLICATIONS**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.No.** | **Nature of the Journal** | | | **Name/ Title of the Journal** | **Year of the publication** | **Index/Impact**  **Factor/ISSN/ISBN** | **Self Evaluation Score** | **Remarks** |
| **Refereed** | **Non-Refereed** | **Conference**  **Proceedings** |
| 1. |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |
| Total Score | | | | | | |  | |

**APPENDIX – IV**

**TABLE – III A**

**RESEARCH PUBLICATIONS (BOOKS, CHAPTERS)**

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.NO.** | **Nature of Book** | | **Title of the Book** | **Sole Author/Multi Author/**  **Chapter(s)** | **Year of Publication & publisher** | **International/ National/State/Central & Local with ISSN/ISBN** | **Chapters in Edited Volume(s)** | | **Self Evaluation Score** | **Remarks** |
| **Text/**  **Reference** | **Subject** | **International** | **National**  **ISSN/ISBN** |
| 1. |  | |  |  |  |  |  | |  |  |
| 2. |  | |  |  |  |  |  | |  |  |
| 3. |  | |  |  |  |  |  | |  |  |
| 4. |  | |  |  |  |  |  | |  |  |
| . |  | |  |  |  |  |  | |  |  |
| . |  | |  |  |  |  |  | |  |  |
| Total Score | | | | | | | | |  | |

**APPENDIX – IV**

**TABLE - -III C**

**RESEARCH PROJECTS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.No.** | **Sponsored/ Consultancy** | **Ongoing/completed**  **and duration** | **Title of the**  **Project** | **Major/**  **Minor** | **Funding**  **Agency** | **Amount**  **Sanctioned** | **Projects**  **Outcome** | **Self Evaluation Score** | **Remarks** |
| 1. |  |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |  |
| Total Score | | | | | | | |  | |

**APPENDIX – IV**

**TABLE – III D**

**RESEARCH GUIDANCE**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **S.No.** | **Name of the Candidate** | **Degree Awarded**  **M.Phil / Ph.D.** | **Year of Award** | **Title of the Dissertation/Thesis submitted for M.Phil / Ph.D.** | **Self Evaluation Score** | **Remarks** |
| 1. |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |
| . |  |  |  |  |  |  |
| . |  |  |  |  |  |  |
| Total Score | | | | |  | |

**APPENDIX – IV**

**TABLE – III E (II)**

**PAPERS IN CONFERENCES / SEMINARS / WORKSHOPS**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **S.No.** | **Conferences/**  **Seminars/**  **Workshops** | **Participation and Presentation of Research Paper Oral/Poster** | | | | **Invited Lecture(s)/**  **Presentation in Conference/Symposia** | | **Self Evaluation**  **Score** | **Remarks** |
| **International** | **National** | **Regional** | **Local University College level** | **International** | **National** |
| 1. |  |  |  |  |  |  |  |  |  |
| 2. |  |  |  |  |  |  |  |  |  |
| 3. |  |  |  |  |  |  |  |  |  |
| 4. |  |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |  |
| . |  |  |  |  |  |  |  |  |  |
| Total Score | | | | | | | |  | |